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**JAN 23 2007.**

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# FACSIMILE COVER SHEET

Deliver to: Booth, Richard A., USPTO

Art Group: 2812

Facsimile No.: (571) 273-8300

Date: January 23, 2007

From: Brent E. Vecchia, Reg. No. 48,011

Our Docket No.: 42P13230D

Number of pages 12; including this sheet.

Application No.: 10/619,961

Filing Date: 7/15/2003

**Docket Due Date(s):** 1/23/2007

**Enclosed are the following documents:**

- ☒ Amendment: \_\_\_\_\_ Response \_\_\_\_\_ ( \_\_\_\_pgs)

☐ Appeal Brief (\_\_\_\_pgs)

☐ Application: \_\_\_\_\_  
                                 (\_\_\_\_pgs) w/cover & abstract

☐ Assignment & Cover Sheet (\_\_\_\_pgs)

☐ Certificate of \_\_\_\_\_

☐ Continued Prosecution Application (CPA)

☐ Declaration & POA (\_\_\_\_pgs)

☐ Drawings: \_\_\_\_sheets, \_\_\_\_ figures

☐ Extension of Time: \_\_\_\_\_

☒ Fee Transmittal (in duplicate)

☐ IDS & PTO/SB/08 (\_\_\_\_pgs)

☐ Other \_\_\_\_\_

☐ Issue Fee Transmittal

☐ Notice of Appeal

☐ Petition for: \_\_\_\_\_

☐ Request for Continued Examination (RCE)

☐ Reply Brief (\_\_\_\_pgs)

☐ Request & Certification Under 35 USC 122(b)(2)(B)(i)

☐ Request to Rescind Previous Nonpublication Request

☐ Response to Notice of Missing Parts & Formalities Letter

☐ Response to Written Opinion (\_\_\_\_pgs)

☐ Terminal Disclaimer

☐ Transmittal of Publication Fee Due

☒ Transmittal Letter

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Pat Sullivan 1/23/2007  
Pat Sullivan Date

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JAN 23 2007

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	10/619,961
		Filing Date	July 15, 2003
		First Named Inventor	Shafqat Ahmed
		Art Unit	2812
		Examiner Name	Booth, Richard A.
Total Number of Pages in This Submission	12	Attorney Docket Number	42P13230D

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Facsimile Transmittal Sheet</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brent E. Vecchia, Reg. No. 48,011 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Brent E. Vecchia</i>
Date	January 23, 2007

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Typed or printed name	Pat Sullivan	Date	January 23, 2007
Signature	<i>Pat Sullivan</i>	Date	January 23, 2007

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (ndc) 10/12/2006.  
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<b>FEE TRANSMITTAL</b> <b>for FY 2006</b> <small>Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	10/619,961
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		Filing Date	July 15, 2003
		First Named Inventor	Shafqat Ahmed
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 0.00		Examiner Name	Booth, Richard A.
		Art Unit	2812
		Attorney Docket No.	42P13230D

**METHOD OF PAYMENT** (check all that apply)

☐ Check 
 ☐ Credit card 
 ☐ Money Order 
 ☒ None 
 ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below 
 ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) 
 ☒ Credit any overpayments

under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

**FEE CALCULATION**

**1. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
13	24*	0	\$0.00
Independent Claims	2	0	\$0.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	50	2202	25	Claims in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	380	2203	180	Multiple Dependent claim, if not paid
1204	790	2204	395	**Reissue independent claims over original patent
1205	500	2205	150	***Reissue claims in excess of 20 and over original patent
SUBTOTAL (1)				(\$) 0.00

\*or number previously paid, if greater. For Reissues, see below

**2. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,580	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
SUBTOTAL (2)				(\$)	

<b>SUBMITTED BY</b>		Complete (if applicable)	
Name (Print/Type)	Brent E. Vecchia	Registration No. (Attorney/Agent)	48,011
Signature	<i>Brent E. Vecchia</i>	Telephone	(303) 740-1980
		Date	01/23/07

Based on PTO/SB17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (w/1) 12/15/2004.  
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# **FEE TRANSMITTAL for FY 2006**

*Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$) **0.00**

## **Complete if Known**

Application Number 10/619,961  
Filing Date July 15, 2003  
First Named Inventor Shafqat Ahmed  
Examiner Name Booth, Richard A.  
Art Unit 2812  
Attorney Docket No. 42P13230D

## **METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify):  
☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakey, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## **FEE CALCULATION**

### **1. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
13	24	0	50.00
Independent Claims	2	0	200.00
Multiple Dependent			
Large Entity	Small Entity		
Fee Code	Fee Code	Fee Description	
1202 50	2202 25	Claims in excess of 20	
1201 200	2201 100	Independent claims in excess of 2	
1203 380	2203 180	Multiple Dependent claim, if not paid	
1204 790	2204 385	**Reissue independent claims over original patent	
1205 300	2205 150	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (1)</b>		<b>(\$)</b>	<b>0.00</b>

\*or number previously paid, if greater. For Reissues, see below

### **2. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
2053 130	2053 130	Non-English specification	
1251 120	2251 80	Extension for reply within first month	
1252 450	2252 225	Extension for reply within second month	
1253 1,020	2253 510	Extension for reply within third month	
1254 1,580	2254 785	Extension for reply within fourth month	
1255 2,160	2255 1,080	Extension for reply within fifth month	
1401 500	2401 250	Notice of Appeal	
1402 500	2402 250	Filing a brief in support of an appeal	
1403 1,000	2403 500	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1480 130	2480 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(a)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
1809 790	1809 395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 790	2810 395	For each additional invention to be examined (37 CFR § 1.129(b))	
<b>SUBTOTAL (2)</b>		<b>(\$)</b>	

Other fee (specify)

## **SUBMITTED BY**

Name (Print/Type) Brent E. Vecchia Registration No. 48,011 Telephone (303) 740-1980  
Signature *Brent E. Vecchia* Date 01/23/07

**Complete (if applicable)**

Based on PTO/BB/17 (12-04) as modified by Blakey, Sokoloff, Taylor & Zafman (mtr) 12/15/2004.  
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Our Docket No: 42P13230D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shafquat Ahmed et al.

Serial No: 10/619,961

Filed: July 15, 2003

For: Method of Forming Transistor Having  
Insulating Spacers On Gate Sidewalls

Examiner: Booth, Richard A.

Art Unit: 2812

AMENDMENT AND RESPONSE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed 10/23/2006, the Applicants respectfully request that the Examiner enter the following amendments and consider the following remarks.

CERTIFICATE OF FACSIMILE TRANSMISSION

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January 23, 2007

Date of Transmission

Pat Sullivan

Name of Person Transmitting Correspondence

Signature

01/23/2007

Date